Tulane University’s Allocation to Students of Grants from the Higher Education Emergency Relief Funds (HEERF III) Under the American Rescue Plan Act (ARP) and Remaining Higher Education Emergency Relief Funds (HEERF II) Under the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA)

In accordance with U.S. Department of Education (DOE) guidelines, Tulane University is awarding:

- The remainder of the student portion of Higher Education Emergency Relief Funds (HEERF II) grants (approximately $74,186 available) to eligible Tulane students; and
- The entire student portion of Higher Education Emergency Relief Funds II (HEERF III) grants (approximately $7,315,697 available) to eligible Tulane students.
- By prioritizing students with exceptional need, such as students who receive Federal Pell Grants or students with extraordinary financial circumstances.
- Based on a transparent process for assessing need, which first reviews results from the Free Application for Federal Student Aid (FAFSA), and then allocates any remaining HEERF funds, by identifying students who have faced significant unexpected expenses, regardless of whether a student completed a FAFSA or is eligible for federal Title IV student aid.

Identifying Eligible Students

Tulane University’s methodology in allocating the aforementioned HEERF funds focuses on students meeting the following criteria:

**Initial Criteria:**

- Who were enrolled* at Tulane University during the most recent 2020-2021 academic year; which includes students who enrolled during any one of these semesters:
  - the 2020 summer semester; and/or
  - the 2020 fall semester; and/or
  - the 2021 spring semester

*Enrollment defined as occurring after the “Last Day to Register/Add”, as defined by the Tulane Registrar for each respective semester identified.

AND

- Who completed either a 2019-2020 Free Application for Federal Student Aid (FAFSA) OR a 2020-2021 Free Application for Federal Student Aid (FAFSA) for their 2020 summer enrollment; OR who completed a 2020-2021 Free Application for Federal Student Aid (FAFSA) for their 2020 fall semester enrollment; OR who completed a 2020-2021 Free Application for Federal Student Aid (FAFSA) for their 2021 spring semester enrollment; AND

- Whose FAFSA application was identified as complete by the University Financial Aid Office for the applicable semester.
Secondary Criteria (may be used if HEERF II and/or III funds remain after awarding using Initial Criteria with prior, attached and/or additional alternative methodologies):

- Who were enrolled* at Tulane University during the most recent 2020-2021 academic year; which includes students who enrolled during any one of the these semesters:
  - the 2020 summer semester; and/or
  - the 2020 fall semester; and/or
  - the 2021 spring semester

*Enrollment defined as occurring after the “Last Day to Register/Add”, as defined by the Tulane Registrar for each respective semester identified.

AND

- Who have provided the Tulane University Financial Aid Office with some evidence (such as an incomplete FAFSA application; alternative aid applications; tax documents; and/or other documentation) allowing for a confident and accurate determination of exceptional need via established metrics.

All students are included in this evaluation, including:

- All undergraduate students; and
- All graduate and professional students; and including
- All students whether educational delivery is on-ground; on-line; or a hybrid of these two delivery methods

Identifying Eligible Enrollment Periods

After identifying those eligible students, meeting the aforementioned criteria, our next evaluation looked to identify students within this population who enrolled in at least one of these three combinations:

- Enrolled for the 2020 summer semester; or
- Enrolled for the 2020 fall semester; or
- Enrolled for the 2021 spring semester

The University Financial Aid Office use the Registrar’s enrollment data and prevailing academic calendar events in determining a student’s enrollment in the aforementioned specified semesters.

Allocation Models

In efforts to disburse HEERF grant awards to our qualifying students in a fair, equitable, and expedient manner, Tulane established five disbursement models such that neither requires an application from the student:

- **2020 Summer Semester Model for All Students (Undergraduate and Graduate & Professional)** for students enrolled during the 2020 summer semester (generally representing awards from Tulane’s remaining HEERF II student funding levels, as well as from Tulane’s HEERF III student funding levels)
- **2020 Fall Semester Model for Undergraduate Students** for undergraduate students enrolled during the 2020 fall semester (generally representing awards from Tulane’s remaining HEERF III student funding levels)
• **2020 Fall Semester Model for Graduate & Professional Students** for graduate & professional students enrolled during the 2020 fall semester (generally representing awards from Tulane’s remaining HEERF III student funding levels)
• **2021 Spring Semester Model for Undergraduate Students** for undergraduate students enrolled during the 2021 spring semester (generally representing awards from Tulane’s remaining HEERF III student funding levels)
• **2021 Spring Semester Model for Graduate & Professional Students** for graduate & professional students enrolled during the 2021 spring semester (generally representing awards from Tulane’s remaining HEERF III student funding levels)

**Model Components**

All models seek to provide grants to students by focusing allocations on the following:

- ongoing pandemic related expenses (technology expenses and/or health related expenses); AND/OR
- levels of exceptional need (Federal Pell Grant recipients and/or metrics identifying a student’s level of need)

Here are details regarding each of these components:

1. **Technology Expenses**
   
   During the 2000 summer semester, all Tulane courses were exclusively only offered via an on-line delivery format. During the 2020 fall semester and 2021 spring semester, a significant higher level of Tulane’s courses were also delivered via an on-line format. We recognize that such an increase in the level of on-line course offerings required students to incur higher than expected technology related expenses. Specifically, to assure that students can successfully participate in an on-line educational environment there are expected upgrades necessary for adequate internet access.

   Research indicates that the average monthly cost for internet connection in the United States is approximately $56.67. Students are incurring such internet connection expenses for each month of each semester and therefore are incurring on average the following in internet access expenses:
   
   - 2020 summer semester: $170 (covering 3 months);
   - 2020 fall semester: $280 (covering 5 months);
   - 2021 spring semester: $280 (covering 5 months)

   Tulane is using these amounts to represent the estimated maximum average amount that our students have incurred in securing internet access since they need to have such access due to the increased level of on-line coursework and meetings due to the pandemic.

2. **Health Related Expenses**

   During the ongoing COVID-19 pandemic, Tulane students continue to incur up to $280 each semester in health related expenses. Based on the federal government’s health plans requiring an approximate $200 annual deductible, we believe that on average our students are incurring at least $100 in health expenses by virtue of paying their required health care deductibles. Tulane is using this $100 amount to represent the estimated maximum average expense that our students have incurred in health care deductibles each semester in meeting necessary health issues related to the pandemic.
In addition to standard health clinic and prescription costs associated with the aforementioned deductible allowance, our students continue to experience the pandemic-induced requirements of needing to purchase masks, disinfectants and cleaning materials. Published reports indicate that the average amount that Americans are spending on COVID-19 supplies is approximately $180. Tulane is using this $180 amount to represent the estimated maximum average expense that our students have incurred in pandemic health related supply expenses each semester.

Tulane’s methodology identifies that the estimated maximum average amount of health expenses incurred by the identified eligible students each semester is $280.

3. Federal Pell Grant Recipients

Students for whom a Federal Pell Grant disbursed during their semester of enrollment (either 2020 summer; 2020 fall; and/or 2021 spring semester) receive a HEERF award that will include at least a match the amount of their Federal Pell Grant disbursement for the specific semester. This is only applicable for undergraduate students who received Federal Pell disbursements in the aforementioned periods of enrollment.

4. Exceptional Financial Need Evaluation

Using FAFSA results (or applicable secondary criteria), students demonstrating the highest level of need will receive additional HEERF funding to recognize their demonstrated level of exceptional need. Under our Initial Criteria evaluation, all models exercise comparable allocations using the calculated Expected Family Contribution (EFC) as determined using federal methodology and based on reported and finalized FAFSA data for each student. The EFC tiers established in the models represent varying levels of exceptional need. Generally, differences in HEERF grant amounts will reflect a higher grant amount for students with a lower EFC.

Tulane’s allocation of HEERF grant funding (both HEERF II and HEERF III) is limited and prevents the University from awarding every eligible student the estimated maximum average expenses. Therefore, in using the aforementioned two expense categories, our methodology provides for students to share in the HEERF grant awarding process. If Tulane’s HEERF II allocation model previously considered and awarded funds based on expense allocations, then this model only considers remaining eligible expense allocations.

Allocation Model Details

1. 2020 Summer Model for All Students (Undergraduate and Graduate & Professional)

Due to the limited level of available HEERF funding; this model limits HEERF grant awards to eligible students (defined as those meeting the aforementioned Initial Criteria) enrolled during the 2020 summer semester. Consideration includes the previously identified model components:

- technology expenses allowance (up to a maximum of $170 for the 3-month summer semester); AND/OR
- health related expenses allowance (up to a maximum of $280 for the summer semester); AND/OR
- match of the full amount of Federal Pell Grant disbursed for the summer semester (up to a maximum of $3,173); AND/OR
- allowance for exceptional need (up to a maximum of $255)
We successfully matched the full amounts of all Federal Pell Grants disbursed. However, while the desire is to award the maximums of all other components to all students, our allocation model was unable to attain this goal due to limited resources. Therefore, using a range based on the expected family contribution (EFC) as determined via federal methodology and using data reported on the 2019-2021 FAFSA or the 2020-2021 FAFSA, Tulane identified the following EFC ranges for this eligible student population enrolled during the 2020 summer semester:

<table>
<thead>
<tr>
<th>All Students (Undergraduate and Graduate &amp; Professional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Range #1 a: FM EFC &lt; 5,712; Pell Grant paid in 2020 summer semester</td>
</tr>
<tr>
<td>Range #1 b: FM EFC &lt; 5,712; non-Pell recipient in 2020 summer semester</td>
</tr>
<tr>
<td>Range #2: FM EFC 5,712 to 12,000</td>
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<tr>
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<tr>
<td>Range #4: FM EFC 25,001 to 50,000</td>
</tr>
<tr>
<td>Range #5: FM EFC 50,001 to 75,000</td>
</tr>
<tr>
<td>Range #6: FM EFC &gt; 75,000</td>
</tr>
</tbody>
</table>

In our allocation model, awards were as follows:

- Students included in the first range (Range #1 a...representing those with EFCs from $0 to $5,711 AND who had a Federal Pell Grant disburse) receive a HEERF grant award that equals the amount of the Federal Pell Grant disbursed for the summer semester PLUS $535 ($280 for health related expenses plus $255 for exceptional need).
- Students included in the second range (Range #1 b...representing those with EFCs from $0 to $5,711 AND yet did NOT receive a Federal Pell Grant disburse) receive a HEERF grant award that equals $400 ($280 for health related expenses plus $120 for exceptional need).
- Students included in the third range (Range #2...representing those with EFCs from $5,712 to $12,000) receive a HEERF grant award that equals $300 ($240 for health related expenses plus $60 for technology expenses).
- Students included in the fourth range (Range #3...representing those with EFCs from $12,001 to $25,000) receive a HEERF grant award that equals $200 ($140 for health related expenses plus $60 for technology expenses).
- Students included in the fifth range (Range #4...representing those with EFCs from $25,001 to $50,000) receive a HEERF grant award that equals $100 ($100 for technology expenses).
- Students included in the sixth range (Range #5...representing those with EFCs from $50,001 to $75,000) receive a HEERF grant award that equals $50 ($50 for technology expenses).
- Students included in the final seventh range (Range #6...representing those with EFCs greater than $75,000) receive a HEERF grant award that equals $25 ($25 for technology expenses).

2. 2020 Fall Semester Model for Undergraduate Students

Due to the limited level of available HEERF funding; this model limits HEERF grant awards to eligible students (defined as those meeting the aforementioned Initial Criteria) enrolled during the 2020 fall semester. Consideration includes the previously identified model components:
- technology expenses allowance (up to a maximum of $280 for the fall semester); AND/OR
- health related expenses allowance (up to a maximum of $280 for the fall semester); AND/OR
- match of the full amount of Federal Pell Grant disbursed for the fall semester (up to a maximum of $3,173); AND/OR
- allowance for exceptional need (up to a maximum of $400)

We successfully matched the full amounts of all Federal Pell Grants disbursed. However, while the desire is to award the maximums of all other components to all students, our allocation model was unable to attain this goal due to limited resources. Therefore, using a range based on the expected family contribution (EFC) as determined via federal methodology and using data reported on the 2020-2021 FAFSA, Tulane identified the following EFC ranges for this eligible student population enrolled during the 2020 fall semester:

<table>
<thead>
<tr>
<th>Undergraduate Students</th>
</tr>
</thead>
<tbody>
<tr>
<td>Range #1 a: FM EFC &lt; 5,712; Pell Grant paid for 2020 fall semester</td>
</tr>
<tr>
<td>Range #1 b: FM EFC &lt; 5,712; non-Pell recipient in 2020 fall semester</td>
</tr>
<tr>
<td>Range #2: UG 2021 FM EFC 5,712 to 12,000</td>
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<tr>
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</tr>
<tr>
<td>Range #6: UG 2021 FM EFC &gt; 75,000</td>
</tr>
</tbody>
</table>

In our allocation model, awards were as follows:

- Students included in the first range (Range #1 a...representing those with EFCs from $0 to $5,711 AND who had a Federal Pell Grant disburse) receive a HEERF grant award that equals the amount of the Federal Pell Grant disbursed for the fall semester PLUS $255 ($255 for exceptional need).
- Students included in the second range (Range #1 b...representing those with EFCs from $0 to $5,711 AND yet did NOT receive a Federal Pell Grant disburse) receive a HEERF grant award that equals $400 ($400 for exceptional need).
- Students included in the third range (Range #2...representing those with EFCs from $5,712 to $12,000) receive a HEERF grant award that equals $300 ($120 for health related expenses plus $180 for technology expenses).
- Students included in the fourth range (Range #3...representing those with EFCs from $12,001 to $25,000) receive a HEERF grant award that equals $200 ($70 for health related expenses plus $130 for technology expenses).
- Students included in the fifth range (Range #4...representing those with EFCs from $25,001 to $50,000) receive a HEERF grant award that equals $100 ($100 for technology expenses).
- Students included in the sixth range (Range #5...representing those with EFCs from $50,001 to $75,000) receive a HEERF grant award that equals $50 ($50 for technology expenses).
- Students included in the final seventh range (Range #6...representing those with EFCs greater than $75,000) receive a HEERF grant award that equals $25 ($25 for technology expenses).
3. **2020 Fall Semester Model for Graduate & Professional Students**

Due to the limited level of available HEERF funding; this model limits HEERF grant awards to eligible students (defined as those meeting the aforementioned Initial Criteria) enrolled during the 2020 fall semester. Consideration includes the previously identified model components:
- technology expenses allowance (up to a maximum of $280 for the fall semester); AND/OR
- health related expenses allowance (up to a maximum of $280 for the fall semester); AND/OR
- allowance for exceptional need (up to a maximum of $120)

While the desire is to award the maximums of all components to all students, our allocation model was unable to attain this goal due to limited resources. Therefore, using a range based on the expected family contribution (EFC) as determined via federal methodology and using data reported on the 2020-2021 FAFSA, Tulane identified the following EFC ranges for this eligible student population enrolled during the 2020 fall semester:

<table>
<thead>
<tr>
<th>Graduate &amp; Professional Students</th>
</tr>
</thead>
<tbody>
<tr>
<td>Range #1: Non UG 2021 FM EFC &lt; 5,712</td>
</tr>
<tr>
<td>Range #2: Non UG 2021 FM EFC 5,712 to 12,000</td>
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<tr>
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</tr>
<tr>
<td>Range #6: Non UG 2021 FM EFC &gt; 75,000</td>
</tr>
</tbody>
</table>

In our allocation model, awards were as follows:

- Students included in the first range (Range #1...representing those with EFCs from $0 to $5,711) receive a HEERF grant award that equals $400 ($100 for health related expenses plus $180 for technology expenses plus $120 for exceptional need).
- Students included in the second range (Range #2...representing those with EFCs from $5,712 to $12,000) receive a HEERF grant award that equals $300 ($120 for health related expenses plus $180 for technology expenses).
- Students included in the third range (Range #3...representing those with EFCs from $12,001 to $25,000) receive a HEERF grant award that equals $200 ($70 for health related expenses plus $130 for technology expenses).
- Students included in the fourth range (Range #4...representing those with EFCs from $25,001 to $50,000) receive a HEERF grant award that equals $100 ($100 for technology expenses).
- Students included in the fifth range (Range #5...representing those with EFCs from $50,001 to $75,000) receive a HEERF grant award that equals $50 ($50 for technology expenses).
- Students included in the final sixth range (Range #6...representing those with EFCs greater than $75,000) receive a HEERF grant award that equals $25 ($25 for technology expenses).
4. **2021 Spring Semester Model for Undergraduate Students**

Due to the limited level of available HEERF funding; this model limits HEERF grant awards to eligible students (defined as those meeting the aforementioned Initial Criteria) enrolled during the 2021 spring semester. Consideration includes the previously identified model components:

- technology expenses allowance (up to a maximum of $280 for the spring semester); AND/OR
- health related expenses allowance (up to a maximum of $280 for the spring semester); AND/OR
- match of the full amount of Federal Pell Grant disbursed for the spring semester (up to a maximum of $3,173); AND/OR
- allowance for exceptional need (up to a maximum of $400)

We successfully matched the full amounts of all Federal Pell Grants disbursed. However, while the desire is to award the maximums of all other components to all students, our allocation model was unable to attain this goal due to limited resources. Therefore, using a range based on the expected family contribution (EFC) as determined via federal methodology and using data reported on the 2020-2021 FAFSA, Tulane identified the following EFC ranges for this eligible student population enrolled during the 2020 fall semester:

<table>
<thead>
<tr>
<th>Undergraduate Students</th>
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<tbody>
<tr>
<td>Range #1 a: FM EFC &lt; 5,712; Pell Grant paid for 2021 spring semester</td>
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<td>Range #6: UG 2021 FM EFC &gt; 75,000</td>
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</tbody>
</table>

In our allocation model, awards were as follows:

- Students included in the first range (Range #1 a...representing those with EFCs from $0 to $5,711 AND who had a Federal Pell Grant disburse) receive a HEERF grant award that equals the amount of the Federal Pell Grant disbursed for the spring semester PLUS $255 ($255 for exceptional need).
- Students included in the second range (Range #1 b...representing those with EFCs from $0 to $5,711 AND yet did NOT receive a Federal Pell Grant disburse) receive a HEERF grant award that equals $400 ($400 for exceptional need).
- Students included in the third range (Range #2...representing those with EFCs from $5,712 to $12,000) receive a HEERF grant award that equals $300 ($120 for health related expenses plus $180 for technology expenses).
- Students included in the fourth range (Range #3...representing those with EFCs from $12,001 to $25,000) receive a HEERF grant award that equals $200 ($70 for health related expenses plus $130 for technology expenses).
• Students included in the fifth range (Range #4...representing those with EFCs from $25,001 to $50,000) receive a HEERF grant award that equals $100 ($100 for technology expenses).
• Students included in the sixth range (Range #5...representing those with EFCs from $50,001 to $75,000) receive a HEERF grant award that equals $50 ($50 for technology expenses).
• Students included in the final seventh range (Range #6...representing those with EFCs greater than $75,000) receive a HEERF grant award that equals $25 ($25 for technology expenses).

5. 2021 Spring Semester Model for Graduate & Professional Students
Due to the limited level of available HEERF funding; this model limits HEERF grant awards to eligible students (defined as those meeting the aforementioned Initial Criteria) enrolled during the 2021 spring semester. Consideration includes the previously identified model components:
- technology expenses allowance (up to a maximum of $280 for the spring semester); AND/OR
- health related expenses allowance (up to a maximum of $280 for the spring semester); AND/OR
- allowance for exceptional need (up to a maximum of $120)

While the desire is to award the maximums of all components to all students, our allocation model was unable to attain this goal due to limited resources. Therefore, using a range based on the expected family contribution (EFC) as determined via federal methodology and using data reported on the 2020-2021 FAFSA, Tulane identified the following EFC ranges for this eligible student population enrolled during the 2020 fall semester:

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<tr>
<th>Graduate &amp; Professional Students</th>
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<td>Range #1: Non UG 2021 FM EFC &lt; 5,712</td>
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<td>Range #6: Non UG 2021 FM EFC &gt; 75,000</td>
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</tbody>
</table>

In our allocation model, awards were as follows:
• Students included in the first range (Range #1...representing those with EFCs from $0 to $5,711) receive a HEERF grant award that equals $400 ($100 for health related expenses plus $180 for technology expenses plus $120 for exceptional need).
• Students included in the second range (Range #2...representing those with EFCs from $5,712 to $12,000) receive a HEERF grant award that equals $300 ($120 for health related expenses plus $180 for technology expenses).
• Students included in the third range (Range #3...representing those with EFCs from $12,001 to $25,000) receive a HEERF grant award that equals $200 ($70 for health related expenses plus $130 for technology expenses).
• Students included in the fourth range (Range #4...representing those with EFCs from $25,001 to $50,000) receive a HEERF grant award that equals $100 ($100 for technology expenses).
• Students included in the fifth range (Range #5...representing those with EFCs from $50,001 to $75,000) receive a HEERF grant award that equals $50 ($50 for technology expenses).
• Students included in the final sixth range (Range #6...representing those with EFCs greater than $75,000) receive a HEERF grant award that equals $25 ($25 for technology expenses).

Tulane estimates that the entire “no-application” allocation models described above will directly award eligible Tulane students a total of approximately $74,186 in estimated remaining HEERF II student funds and all $7,315,697 in HEERF III student funds. If any funds remain available after all “no-application” models are completely processed, then Tulane University will seek alternative methodologies for making further HEERF student awards until all available HEERF grant funding via the HEERF II and HEERF III programs is fully depleted.

03/24/2022 Update:

Funds remain available after all “no-application” models are completely processed, and Tulane University is now utilizing an additional “no-application” methodology for making further HEERF student awards until all available HEERF II and HEERF III programs is fully depleted.

Tulane University’s additional “no-application” methodology in allocating the additional HEERF II funding will focus on undergraduates in a program delivered on-ground, online, or by a hybrid of the two) meeting the following criteria:
• Who were enrolled at Tulane University for the 2020 fall semester (after the “Last Day to Register/Add,” defined by the Tulane Registrar as occurring on 9/4/2020); AND
• Who completed a 2020-2021 Free Application for Federal Student Aid (FAFSA); AND
• Whose application for federal student aid and resulting federal 2020-2021 Expected Family Contribution (EFC) was identified as complete and valid by the University Financial Aid Office; AND
• Whose federal 2020-2021 Expected Family Contribution (EFC) was considered to be in the range of eligibility for a Federal Pell Grant (an EFC of less than $5,712); AND
• Who may have previously received fall 2020 HEERF grant attributable to exceptional need, but had not previously received a fall 2020 HEERF grant representing the maximum of $280 for technology expenses and another $280 for health-related expenses.

An amount of up to $560 (representing the maximum of $280 for technology expenses and another $280 for health-related expenses) will be awarded to the identified students.

Again, if any funds remain available after all “no-application” models are completely processed, then Tulane University will seek alternative methodologies for making further HEERF student awards until all available HEERF grant funding via the HEERF II and HEERF III programs is fully depleted.